

Basic Fund Information

This fund is established on _____ (date) by and between _____ (donor(s)) and the Catholic Community Foundation (the Foundation).

Fund Name

The _____

Establishing Donor(s)

Name: _____ D.O.B.: _____

Name: _____ D.O.B.: _____

Address: _____

Home Phone: _____ Cell Phone: _____

Email(s): _____

Investment Pool

The funds will be invested in the Endowment Growth investment pool of the Foundation with other like endowments.

Disbursements

Annual disbursement will be made in accordance with our Terms and Conditions.

Initial Donation

The initial gift to establish the fund is \$ _____

- Check
- Credit Card/EFT
- Securities (instructions available)
- Other: _____

NOTE: Please contact our office prior to sending money or transferring securities. See Endowment Terms & Conditions for fund minimums.

Type of Endowment

See descriptions of each type of fund below.

- Designated
 Field of Interest

Designated Endowment

Designated Endowments are created to support a nonprofit organization. The beneficiary must have 501(c)(3) status and cannot be a private foundation. If the beneficiary ceases to exist or materially changes purpose, the Foundation will direct the fund's payout to another organization providing similar services. See Terms and Conditions for more details.

Designated Beneficiary: _____

Mailing Address: _____

Primary Contact Name (optional): _____

Federal Tax ID#: _____

Please describe the purpose of this endowment:

Field of Interest Endowment

Field of Interest Endowments grant to multiple organizations for projects that are aligned with a defined purpose. Please describe the purpose of this endowment:

Please define the types of organizations that can apply for these grants (area of work, geography, etc.):

Additional Notes/Special Instructions

Signature(s)

I/We acknowledge that I/we have read the terms and conditions of this fund. I/We understand that my/our contribution is an irrevocable gift to the Catholic Community Foundation.

All information in this form is accurate to the best of my/our knowledge.

Donor 1 Signature

Donor 2 Signature (*optional*)

Donor 1 Name (*print*)

Donor 2 Name (*print*)

Date

Date

The Catholic Community Foundation:

Authorized Signature

Title

Name (*print*)

Date

Appendices

- Terms and Conditions
- Stock Transfer Instructions

Establishing a Fund

Funds may be established by donation of cash/equivalent, marketable securities or another approved gift to the Catholic Community Foundation (the Foundation), a section 501(c)(3) public charity.

Ownership of the Fund

The fund is a component fund, owned by the Foundation. All gifts to the Foundation are treated as gifts to a public charity, and generally tax-deductible, subject to legal limitations. We do not provide tax or legal advice and recommend that you discuss your specific situation with your advisor.

The assets in the fund (donations and investment gains) are irrevocably transferred to the Foundation, which has exclusive legal authority and control of the fund. Terms and conditions governing the funds may be modified from time to time as deemed prudent by the Foundation. The Foundation has the right to modify any condition or restriction on the distribution of funds should it determine the restriction or condition is impractical, incapable of fulfillment, unnecessary, in violation of the terms of this policy, in violation with the law, or inconsistent with the charitable needs of the community served.

Modifications to an Endowment

If the programs and/or activities of a beneficiary are no longer in compliance with Catholic social teaching (as defined by the Conference of Catholic Bishops: www.usccb.org/beliefs-and-teachings/what-we-believe/catholic-social-teaching) or the organization is no longer a charitable organization at the time of the grant distribution, the Foundation reserves the right to change the beneficiary to an organization best suited to the original intent of the fund.

In the event that multiple beneficiaries merge, the donor intent for all endowments and gifts for each of the beneficiaries involved in a merger must be honored in perpetuity, and therefore automatically become obligations of the combined beneficiary.

In the event the Foundation needs to petition the State Attorney General for modifying the endowment, the fund being modified will assume all legal fees.

Investment

All investments are made in line with the Investment Policy of the Foundation. All assets will be managed in the selected investment pool. Download our Investment Policy here: catholiccf.org/financials

Fees and Minimums

The following fees and minimums apply:

DESIGNATED ENDOWMENT

Minimum initial gift:	\$10,000 (\$1,000 if designee is a parish or parochial school)
Minimum balance to start grants:	\$25,000
Annual administrative fee:	0.5% of annual fund balance, measured and paid as 0.125% quarterly on the value as of the last day of the last month of the calendar quarter.

FIELD OF INTEREST ENDOWMENT

Minimum initial gift:	\$100,000
Minimum balance to start grants:	9 months of investment activity
Annual administrative fee:	2% of annual fund balance, measured and paid as 0.5% quarterly on the value as of the last day of the last month of the calendar quarter.

NOTE: Fees may be changed at any time with 90 days' notice.

Grants from Field of Interest Endowment

All Field of Interest Endowments are eligible for grant making after 9 months of investment activity. All grants must be made to Catholic organizations doing business in Santa Clara County. These are all 501(c)(3) organizations, designated as Catholic in their articles of incorporation and/or listed in the Kenedy Directory.

The Grants Committee of the Foundation will determine all grant recipients and individual grant amounts.

Grants from Designated Endowment

The beneficiary of a Designated Endowment must be a 501(c)(3) organization located in the United States. Grants are not permitted to private non-operating foundations or non-functionally integrated Type III supporting organizations. Beneficiaries must be organizations whose work is in keeping with Catholic Social Teaching, as defined by the U.S. Conference of Catholic Bishops: www.usccb.org/beliefs-and-teachings/what-we-believe/catholic-social-teaching/

Grants made from a Designated Endowment will be based on balances once each year. The percentage distribution will be made in accordance with the disbursement policy of the Foundation. Beneficiaries will be notified of the grant amount and have three choices:

- 1) Take the entire distribution
- 2) Take a portion of the distribution
- 3) Leave the entire amount in the fund

The Foundation manages various pools of capital. Each pool has different goals and objectives guiding the decisions related to portfolio construction and appropriate risk level. The Foundation embraces socially responsible investing in accordance with the guidance of the United States Conference of Catholic Bishops.

Endowment Growth Pool

GOAL

This pool focuses on the long-term returns and providing *FOREVER VALUE* for endowment beneficiaries. Return objective between 7% and 8.5% net of estimated investment expenses.

ASSET MIX

The risk profile should mirror a 70% equity 30% fixed income portfolio. Additionally, capturing illiquidity premiums as appropriate creates a target allocation that includes private assets.

Asset Allocation Targets Include:

Fixed Income	19%
Public Equities (Derivative Overlay of 16%)	27%
Semi-Liquid Credit Strategies (Fixed Income Risk)	7%
Semi-Liquid Equity Strategies (Equity Risk)	17%
Private Equity	18%
Private Real Assets (60% Equity, 40% Fixed Income Risk)	12%

GRANTING HORIZON

All endowments are invested in this pool with the goal of generating perpetual grants.

NOTE:

Investments are monitored continuously by our experienced investment committee, advisors, and staff. Asset allocations in each pool may be adjusted in response to changing conditions in charitable giving and/or in the financial markets.



Stock Transfer Form

Information for your Broker

To make a broker-to-broker transfer, your broker will require the following written instructions.

Foundation Broker: Morgan Stanley	DTC Participant #: 0015	The Curtis Group at Graystone Consulting 1400 Page Mill Rd, Palo Alto, CA 94304
	Foundation Account #: 662-046923-051	650.496.4260 www.morganstanleygc.com/curtisgroup
	Foundation Taxpayer ID #: 83-0400149	Account Manager: Thomas McCue

The Catholic Community Foundation

777 North First Street, Suite 490, San Jose, CA 95112

Phone: 408.995.5219 Fax: 408.995.5865 **Primary Contact:** Sean Finigan finigan@catholiccf.org

Information for the Catholic Community Foundation

Once the stock is transferred, please fill out and send the following information to the Catholic Community Foundation via fax or email.

Date of Transfer: _____ Donor Name(s): _____

Address: _____ City: _____ State: _____ Zip: _____

Phone: _____ Email: _____

For the benefit of (fund name): _____

Gift Restrictions? _____ Pledge Payment? Y N

Name of Stock: _____ Symbol: _____ # of Shares: _____

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